

For the month ended (dd/mm/yyyy):

Monthly Return of Equity Issuer on Movements in Securities

To: Hong Kong Exchanges and Clearin	ng Limited				
Name of Issuer CITIC I Date Submitted 03/10/2	Resources Holdings I 016	Limited			
I. Movements in Authorised Share Capi	ital				
1. Ordinary Shares					
(1) Stock code : 1205 Descri	ption :	CITIC Resources			
	No. of ordinary shares	Par value (HK\$)	Authorised share capital (HK\$)		
Balance at close of preceding month	10,000,000,000	0.05	500,000,000.00		
Increase/(decrease)	Nil		Nil		
Balance at close of the month	10,000,000,000	0.05	500,000,000.00		
(2) Stock code : N/A Descri	ption :	N/A	1		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)		
Balance at close of preceding month					
Increase/(decrease)					
Balance at close of the month					

30/09/2016

Stock code : N/A Descri		Descrip	otion :	N/A	1
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of p	receding	nonth			
Increase/(decrease)					
Balance at close of the	ne month				
3. Other Classes of S	hares				
Stock code :	N/A	Descrip	otion :	N/A	1
			No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of p	receding	month			
Increase/(decrease)					

II. Movements in Issued Share Capital

	No. of ordinary shares (1) (2)		No of preference	No. of other classes		
			shares	of shares		
Balance at close of preceding month	7,857,727,149	N/A	N/A	N/A		
Increase/ (decrease) during the month	N/A	N/A	N/A	N/A		
Balance at close of the month	7,857,727,149	N/A	N/A	N/A		

III. Details of Movements in Issued Share Capital

Share Options (under	Share Option	Schemes of the	Issuer)			
Particulars of share option scheme including SGM approval date (dd/mm/yyyy) and class of shares issuable		Movement du	uring the month		No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Granted	Exercised	Cancelled	Lapsed		
1.Share Option Scheme -						
Exercise Price of						
HK\$1.770						
(30/06/2004)						
Ordinary shares	N/A	N/A	N/A	N/A	N/A	400,000,000
(Note 1)						
2.						
(/ /) shares (Note 1)						
3.						
(/ /) shares						
(Note 1)			Total A. (Ord	dinary charge)	N/A	
				erence shares)	N/A	
			(11010	(Other class)	N/A	
Total funds raised duroptions (HK\$)	ring the month	from exercise	of 	N/A		

N/A

(Other class)

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) No. of new No. of new shares of shares of issuer issued issuer which during the may be issued month pursuant pursuant thereto as at Currency of Amount at close Converted Amount at thereto close of the of preceding close of the month amount during the Class and description outstanding month month month 1. N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Total C. (Ordinary shares)_ (Preference shares) N/A (Other class) N/A

For Main Board and GEM listed issuers

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

(other than ander share option senemes)		
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A		
	1	
	1	
	1	
shares (Note 1)	1	
2.		
-· <u>-</u>	1	
-	†	
	†	
shares (Note 1)	†	
3.		
	†	
	†	
	=	
shares (Note 1)	†	
Shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	
(Other class)	14/74	

	ner wovements in	Issued Share Capital				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of
	Type of Issue						the month
			Class of shares issuable (Note 1)				
1.	Rights issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
			Class of shares issuable (Note 1)				
2.	Open offer	At price : State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
						11/71	17/11

For Main Board and GEM listed issuers

Type of Issue Class of shares issua (Note 1) 5. Scrip dividend At price: State currency ————————————————————————————————————	(/ / (/ /		N/A	N/A
5. Scrip dividend At price: State currency — Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) Class of sha	(/ /		N/A	N/A
EGM approval date: (dd/mm/yyyy) Class of sha	(/ /		N/A	N/A
(dd/mm/yyyy) Class of sha)	N/A	N/A
Class of sha	ares		N/A	N/A
	ares			
repurchased (Note 1)				
6. Repurchase of Shares Cancellation date : (dd/mm/yyyy)	(/ /)		
EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
Class of sharedeemed (Note 1)	ares			
7. Redemption of Redemption date : shares (dd/mm/yyyy)	(/ /)		
EGM approval date: (dd/mm/yyyy)	(/ /)		
			N/A	N/A
Class of shares issua (Note 1)	ıble			
8. Consideration issue At price : State Issue and allotment date : (dd/mm/yyyy)	(/ /)		
EGM approval date: (dd/mm/yyyy)	(/ /)		
			N/A	N/A

For Main Board and GEM listed issuers

	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of issue	Class of shares issuab	ble					the month
9.	Capital reorganisation	Issue and allotment date: (dd/mm/yyyy)	((/	/)		
		EGM approval date: (dd/mm/yyyy)	((/	/)	NI/A	NT/A
							<u>N/A</u>	N/A
		Class of shares issuab	ble					
10.	Other (Please specify) At price : State currency	Issue and allotment date : (dd/mm/yyyy)	((/	/)		
		EGM approval date: (dd/mm/yyyy)	((/	/)	N/A	N/A
		Total E.	(Or (Pref	erer	ary s nce s other	hare	s) N/A	
Тс	tal increase / (decrease) in ordinary shares during the	e month (i.e. Total of A t	to E)	:		·	1) N/A	
To	tal increase / (decrease) in preference shares during t	the month (i.e. Total of)	A to I	E)·		(2) N/A N/A	
	· · · · · ·				E/-		N/A	
	tal increase / (decrease) in other classes of shares du hese figures should be the same as the relevant figure					suea		

Remar	For Main Board and GEM listed issuers rks (if any):	
Submi Title:	Company Secretary (Director, Secretary or other duly authorised officer)	
Notes		
1.	State the class of shares (e.g. ordinary, preference or other).	
2.	If there is insufficient space, please append the prescribed continuation sheet.	